



PTO/SB/21 (08-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number

10/803,513

Filing Date

March 18, 2004

First Named Inventor

Kelly B. POWERS

Art Unit

N/A

Examiner Name

Not Yet Assigned

Attorney Docket Number

480062001900

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

PTO-1449 (4 pages, with 4 references)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual nameMORRISON & FOERSTER LLP
Todd W. Wight - 45,218

Signature

Date

June 17, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

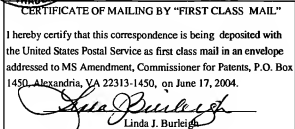
Dated: June 17, 2004

Signature: _____

(Linda J. Burleigh)



PATENT
Docket No. 480062001900



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

POWERS et al.

Serial No.: 10/803,513

Filing Date: March 18, 2004

For: CONNECTOR SYSTEM FOR A
PROXIMALLY TRIMMABLE
CATHETER

Examiner: Not Yet Assigned

Group Art Unit: 3763

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for

Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.

☐ A fee is required. A check in the amount of ___ is enclosed.

☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.

☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.

☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO 1449, indicating that the information has been considered and made of record herein.

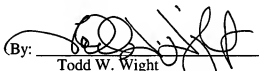
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing (480062001900). However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 17, 2004

Respectfully submitted,

By: 
Todd W. Wight
Registration No. 45,218

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Telephone: (949) 251-7189
Facsimile: (949) 251-0900

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|--------------------------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------|
| Form PTO-144 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | Docket Number 480062001900 | Application Number 10/803,513 |
| | Applicant POWERS et al. | |
| | Filing Date March 18, 2004 | Group Art Unit 3763 |
| | Mailing Date June 17, 2004 | |

U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|----------|--------------|-------------------|-------|----------|----------------------------|
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| | 10. | 06/23/87 | 4,675,004 | Hadford et al. | | | |
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| | 26. | 08/04/92 | 5,135,599 | Martin et al. | | | |
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 480062001900

Application Number 10/803,513

Applicant

POWERS et al.

Filing Date March 18, 2004

Group Art Unit 3763

Mailing Date June 17, 2004

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| 58. | 02/17/98 | 5,718,678 | Fleming, III | | | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

oc- 272791PTO/SB/08 (2-92)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

| | | | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|----------------------------|--|-------------------------------|--|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | | | Docket Number 480062001900 | | Application Number 10/803,513 | |
| | | | | Applicant | | | |
| | | | | POWERS et al. | | | |
| | | | | Filing Date March 18, 2004 | | Group Art Unit 3763 | |
| | | | | Mailing Date June 17, 2004 | | | |

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| | 89. | 04/20/04 | 6,722,705 B2 | Korkor | | | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

oc- 272791PTO/SB/ 08 (2-92)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./

PTO/SB/08 (2-92)

Sheet 4 of 5

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | Docket Number 480062001900 | Application Number 10/803,513 |
| | Applicant POWERS et al. | |
| | Filing Date March 18, 2004 | Group Art Unit 3763 |
| | Mailing Date June 17, 2004 | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO | |
|----------------------|-------------|----------|-----------------|---------|-------|----------|-----------------------|--|
| | 90. | 08/01/02 | WO 02/058776 A2 | | | | | |
| | 91. | 04/17/03 | WO 03/030960 A2 | | | | | |
| | 92. | 04/17/03 | WO 03/030962 A2 | | | | | |
| | 93. | 04/24/03 | WO 03/033049 A2 | | | | | |

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title |
|----------------------|-------------|-------|
| | | |

EXAMINER: /Manuel Mendez/

DATE CONSIDERED: 07/21/2008

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

oc- 272791PTO/SB/ 08 (2-92)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /M.M./